

AMENDMENT TRANSMITTAL LETTER (Small Entity)

Applicant(s): Richard C. PAYNE

Docket No.
GENP:101_US_

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
09/893,633	06/27/2001	Clement B. Graham	24041	3692	5630

Invention: COMPUTER BASED SYSTEM FOR VALUING AND HEDGING CUSTOMIZED INDEXED CALL

OPTION

NOV 15 2007

COMMISSIONER FOR PATENTS:

Transmitted herewith is an amendment in the above-identified application.

Applicant claims small entity status. See 37 CFR 1.27

The fee has been calculated and is transmitted as shown below.

CLAIMS AS AMENDED

	CLAIMS REMAINING AFTER AMENDMENT	HIGHEST # PREV. PAID FOR	NUMBER EXTRA CLAIMS PRESENT	RATE	ADDITIONAL FEE
TOTAL CLAIMS	23 -	23 =	0	x \$25.00	\$0.00
INDEP. CLAIMS	10 -	11 =	0	x \$100.00	\$0.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
TOTAL ADDITIONAL FEE FOR THIS AMENDMENT					\$0.00

No additional fee is required for amendment.

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- Any patent application processing fees under 37 CFR 1.17.

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/C. Paul Maliszewski/

Signature

Dated: Nov. 13, 2007

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I certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

Nov. 13, 2007

(Date)

/C. Paul Maliszewski/

Signature of Person Mailing Correspondence

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CPM/KRB

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

U.S. Patent Application No.: 09/893,633

Confirmation No.: 5630

Applicant(s): PAYNE, Richard C.

Filed: 06/27/2001

For: **COMPUTER BASED SYSTEM FOR VALUING AND HEDGING
CUSTOMIZED INDEXED CALL OPTION**

TC/Art Unit: 3692

Examiner: Clement B. GRAHAM

Docket No.: GENP:101_US

Customer No.: 24041

Certificate of Mailing by First Class Mail

I certify that this communication is being deposited on November 13, 2007 with the U.S. Postal Service as first class mail under 37 C.F.R. §1.8 and is addressed to the Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450.

/C. Paul Maliszewski/

C. Paul Maliszewski
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AMENDMENT AND REQUEST FOR RECONSIDERATION

Mail Stop Amendment
Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Honorable Sir:

This Amendment and Request for Reconsideration is in response to the Office Action dated October 18, 2007 regarding the above-identified patent application. A Notice of Appeal has been filed concurrent with this paper.

Amendments to the Claims begin on page 2 of this paper.

Remarks/Arguments begin on page 9 of this paper.

A check in the amount of \$255.00 for the required Notice of Appeal fee is attached.

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